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Privacy Policy Notice

May 2015

This Notice is being provided on behalf of Central States Capital Markets, LLC ("CSCM") a registered investment adviser.

Your Privacy Is Our Priority

At CSCM we are committed to maintaining the confidentiality of your personal information we collect. We have adopted this Privacy Policy so that you will know what confidential personal information about you we collect, how we treat it, with whom we share it, and how we protect it.

This Privacy Policy applies to all current and former customers of CSCM and any of its affiliates. We will provide current customers with a copy of our most recent Privacy Policy when they open an account and annually thereafter, as required by law.

Information We Collect

We collect confidential personal information about you from a variety of sources, including:

- information we receive from you on applications and other forms, such as your name, address, social security number, occupation, assets and income;
- information about your transactions with or through us, our affiliates, or others, such as account balances and transactions in your account; and
- infrequently, information we may receive from consumer reporting agencies, such as your credit history.

Information We Share With Our Affiliates and Others

Except as provided in the next paragraph, we do not disclose confidential personal information about customers to non-affiliated third parties, except to carry out your instructions, to service your account, or except as required or permitted by law. For example, if you direct us to purchase shares from a mutual fund company, we must disclose the information we collect about you to the mutual fund. As another example, we may disclose your information if required to do so by government order or subpoena.

Additionally, on occasion, we may provide your name and address to companies we hire to assist us with distributing client statements, newsletters, legal notices, and other mailings.

Protecting the Confidentiality and Security of Information We Collect

We restrict access to your confidential personal information to those employees and representatives who need to know that information to provide you with products or services, or to service your account. We maintain physical, electronic, and procedural safeguards that comply with federal standards to safeguard your confidential personal information.

Information About This Policy and Your Accounts

If you have any questions about this Privacy Policy, if you would like another copy of it, or if you have any questions regarding your accounts, please feel free to contact CSCM at 913-766-6565, Monday-Friday, 9:00 a.m. to 5:00 p.m., Central Time.